UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

71134 7590 08/16/2011 Edwards Vacuum, Inc. 2041 MISSION COLLEGE BOULEVARD SUITE 260 SANTA CLARA, CA 95054 EXAMINER

BAYOU, AMENE SETEGNE

ART UNIT PAPER NUMBER

3746

DATE MAILED: 08/16/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/586,200	07/14/2006	Martin Ernst Tollner	M03B326	2779

TITLE OF INVENTION: PRESSURE CONTROL METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/16/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

m

ppropriate. All further adicated unless correcte aintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification of na) specifying a new corres	naintenance fees will epondence address; a	l be mailed to the current nd/or (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for	
		ock 1 for any change of address)	Fee((s) Transmittal. This e ers. Each additional r	certificate cannot be used fo	r domestic mailings of the or any other accompanying nt or formal drawing, must	
71134 Edwards Vacut 2041 MISSION SUITE 260 SANTA CLARA	COLLEGE BOULI			Certif	icate of Mailing or Transi	mission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.	
STRVIII CEITHU	1, 011 2000 1					(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/586,200	07/14/2006	!	Martin Ernst Tollner	<u> </u>	M03B326	2779	
ITLE OF INVENTION	: PRESSURE CONTRO	OL METHOD					
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE I	FEE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/16/2011	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS]			
BAYOU, AME	ENE SETEGNE	3746	417-053000	•			
FR 1.363). Change of corresp Address form PTO/SI "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. ASSIGNEE NAME A PLEASE NOTE: Unl	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Comp	" Indication form ed. Use of a Customer A TO BE PRINTED ON Tified below, no assignee	2. For printing on the p (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attolisted, no name will be THE PATENT (print or type data will appear on the part of the p	3 registered patent a vely, e firm (having as a migent) and the names rneys or agents. If no printed. be) atent. If an assignee assignment.	nember a 2of up to name is 3is identified below, the do	ocument has been filed for	
a. The following fee(s): Issue Fee Publication Fee (N	are submitted: No small entity discount p	permitted)	o. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car	ise first reapply any	previously paid issue fee statached.		
Advance Order - #	f of Copies		The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
_ ~ .	tus (from status indicateds SMALL ENTITY state	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no long	ger claiming SMALL	ENTITY status. See 37 CF	FR 1.27(g)(2).	
OTE: The Issue Fee an aterest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte ites Patent and Trademark	d from anyone other than the Office.	he applicant; a registe	ered attorney or agent; or th	e assignee or other party in	
Authorized Signature				Date			
Typed or printed name				Registration No.			
his collection of inform n application. Confident ubmitting the completed his form and/or suggesti	nation is required by 37 C tiality is governed by 35 d application form to the tons for reducing this bu	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office	etain a benefit by the imated to take 12 mi idual case. Any com cr. U.S. Patent and Tr	public which is to file (and nutes to complete, includin ments on the amount of tin ademark Office, U.S. Dena	by the USPTO to process) g gathering, preparing, and ne you require to complete urtment of Commerce. P.O.	

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/586,200	07/14/2006	Martin Ernst Tollner	M03B326	2779	
71134 75	90 08/16/2011		EXAM	INER	
Edwards Vacuum	*	BAYOU, AMENE SETEGNE			
2041 MISSION COLLEGE BOULEVARD					
SUITE 260			ART UNIT	PAPER NUMBER	
SANTA CLARA, O	CA 95054		3746		

DATE MAILED: 08/16/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 521 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 521 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. Applicant(s)		
	10/586,200	TOLLNER, MARTIN	I FRNST
Notice of Allowability	Examiner	Art Unit	
	AMENE BAYOU	3746	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to reply ro non final date.	(OR REMAINS) CLO or other appropriate of GHTS. This application and MPEP 1308.	SED in this application. If not include communication will be mailed in due	ed course. THIS
2. ⊠ The allowed claim(s) is/are <u>1-12 and 14-22</u> .			
 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents 	been received. been received in App	olication No	tion from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	IENT of this application	on.	
INFORMAL PATENT APPLICATION (PTO-152) which give		oath or declaration is deficient.	
5. CORRECTED DRAWINGS (as "replacement sheets") mus		Davison (DTO 040) attack ad	
(a) ☐ including changes required by the Notice of Draftspers	-	Review (P10-948) attached	
 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date 		nent or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 🗖 Notic	e of Informal Patent Application	
 Notice of Preferences Gled (P10-692) DNotice of Draftperson's Patent Drawing Review (PT0-948) 		riew Summary (PTO-413),	
3. ☐ Information Disclosure Statements (PTO/SB/08),	Pape	er No./Mail Date niner's Amendment/Comment	
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit		niner's Statement of Reasons for Allo	wance
of Biological Material	8. ☑ Exam		ovvarice
/Amene S Bayou/	/Devon C	Kramer/	
Examiner, Art Unit 3746	Superviso	ry Patent Examiner, Art Unit 374	6

Application/Control Number: 10/586,200 Page 2

Art Unit: 3746

DETAILED ACTION

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the Claims

- 2. In claim 1, line 13 after "setting" -- of a preset flow -- has been inserted.
- 3. In claim 1, line 16 -- the pump speed -- has been replaced by -- a speed of the pump -- .
- 4. In Claim 1, line 16, after "that "--the -- has been deleted and -- an -- has been inserted.
- 5. In re claim 3, line 1 -- setting a -- has been replaced by -- setting the --.
- 6. In claim 3,line 2 after "setting" -- the effective pumping speed of the pressure control system -- has been replaced by -- an effective pumping speed --.
- 7. In re claim 3, line 4 after "preset" -- effective-- has been inserted.
- 8. In re claim 5, line 1 -- setting a -- has been replaced by -- setting the -- .
- 9. In re claim 5, line 3 -- reducing the speed -- has been replaced by -- reducing the speed of the pump-- .
- 10. In re claim 9, line 2 after "is" -- positioned -- has been inserted.
- 11. In re claim 16, line 1 -- setting a -- has been replaced by -- setting the --.

Art Unit: 3746

12. In claim 16, line 2 after "setting" -- the effective pumping speed of the pressure control system -- has been replaced by -- an effective pumping speed --.

- 13. In re claim 16, line 4 after "preset" -- effective-- has been inserted.
- 14. In re claim 18, line 1 -- a preset -- has been replaced by -- the preset --.
- 15. In re claim 22, line 2 after "is" -- positioned -- has been inserted.
- 16. The above change is made to put the claim in allowable condition.

Allowable Subject Matter

17. Claims 1-5, 14-16 are allowable. Claims 6-12 and 17-22, previously withdrawn from consideration as a result of a restriction requirement, require all the limitations of an allowable claim. Pursuant to the procedures set forth in MPEP § 821.04(a), the restriction requirement among inventions in species I to IV, as set forth in the Office action mailed on July 07,2009, is hereby withdrawn and claims 6-12 and 17-22 are hereby rejoined and fully examined for patentability under 37 CFR 1.104. In view of the withdrawal of the restriction requirement, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re* Ziegler, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

Art Unit: 3746

18. The following is an examiner's statement of reasons for allowance per MPEP 1302

19. Claims 1-12, 14-22 are deemed to be directed to a non-obvious Improvement over Arai et al. (6474949) and Beyer et al. (5944049).

Claim 1 is drawn to a method of setting the pressure in a chamber of a vacuum system wherein an initial flow out of the chamber is allowed to increase a rate of pressure increase during a specified transient period. After the transient time has passed a preset flow out of the chamber is continued for achieving and maintaining a required pressure that is higher than an initial pressure in the chamber at a moment when the initial flow was set. Furthermore during the transient period, the pump speed is reduced so that an amount of gas which leaks upstream across the pump increases so as to increase the gas flowing into the chamber thereby reducing the transient period for the initial pressure to increase to the required pressure. The setting of the pre set flow comprises varying a conductance of the valve downstream of the pump.

Arai teaches similar evacuation apparatus including most of the claimed method steps but lacks the step of setting of the pre set flow by varying a conductance of the valve downstream of the pump. In addition Arai fails to teach that the required pressure in the chamber after the transient period is higher than the initial pressure before or during the transient period. Nor does it specify a step of minimizing the transient period by manipulating the pump speed. On the

Application/Control Number: 10/586,200

Art Unit: 3746

contrary Arai deliberately drags the pump down time longer than needed to compensate for downsized exhaust pipe.

Beyer et al. teach similar vacuum pumping apparatus including the step of varying a conductance of the valve downstream of the pump in order to set the pre set flow out of the chamber. But Beyer et al. fail to teach the rest of the limitations that Arai is lacking. No other prior art of record seems to fairly teach these limitations. Thus In the examiner's opinion, it would not have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the pumping method of Arai et al. by Beyer et al. to arrive at Applicants' claimed invention.

Conclusion

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Amene S. Bayou whose telephone number is 571-270-3214. The examiner can normally be reached on Monday-Thursday, 9:00 am-5:00 pm.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Devon Kramer can be reached on (571)272-7118. The Art Unit: 3746

fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Devon C Kramer/ Supervisory Patent Examiner, Art Unit 3746

/Amene S Bayou/

Examiner, Art Unit 3746